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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small>	Application Number	09/885,039	
	Filing Date	06/21/2001	
	First Named Inventor	Michael Chen	
	Group Art Unit		
Examiner Name			
Total Number of Pages in This Submission	6	Attorney Docket Number	AVIPO015 USA

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks 1. A copy of notice to file missing parts of nonprovisional application. (1 page) 2. A copy of notice to submit the filing fee, surcharge and returned check charge. (3 pages)		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	WINSTON HSU	
Signature	<i>Winston HSU</i>	
Date	9/19/2001	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name	<input type="text"/>	Date
Signature		<input type="text"/>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (09-00)

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

930

Complete if Known

Application Number	09/885,039
Filing Date	06/21/2001
First Named Inventor	Michael Chen
Examiner Name	
Group Art Unit	
Attorney Docket No.	AVIPO015USA

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
 Deposit Account Number **50-0801**
 Deposit Account Name **North America International Patent Office**
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65 Surcharge - late filing fee or oath	130
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139	130	139 130 Non-English specification	
147	2,520	147 2,520 For filing a request for ex parte reexamination	
112	920*	112 920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
115	110	215 55 Extension for reply within first month	
116	390	216 195 Extension for reply within second month	
117	890	217 445 Extension for reply within third month	
118	1,390	218 695 Extension for reply within fourth month	
128	1,890	228 945 Extension for reply within fifth month	
119	310	219 155 Notice of Appeal	
120	310	220 155 Filing a brief in support of an appeal	
121	270	221 135 Request for oral hearing	
138	1,510	138 1,510 Petition to institute a public use proceeding	
140	110	240 55 Petition to revive - unavoidable	
141	1,240	241 620 Petition to revive - unintentional	
142	1,240	242 620 Utility issue fee (or reissue)	
143	440	243 220 Design issue fee	
144	600	244 300 Plant issue fee	
122	130	122 130 Petitions to the Commissioner	
123	50	123 50 Petitions related to provisional applications	
126	240	126 240 Submission of Information Disclosure Stmt	
581	40	581 40 Recording each patent assignment per property (times number of properties)	40
146	710	246 355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355 For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355 Request for Continued Examination (RCE)	
169	900	169 900 Request for expedited examination of a design application	
Other fee (specify) <i>returned check charge</i>			
Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			

*or number previously paid, if greater. For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	WINSTON HSU	Registration No. (Attorney/Agent)	41,526	Telephone 886-2-8923-7350
Signature	<i>Winston Hsu</i>		Date	3/19/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/885,039	06/21/2001	Michael Chen	AVIP0015USA

A circular stamp with the words "PATENT & TRADEMARK OFFICE" around the top edge and "WASHINGTON, D.C." at the bottom. A rectangular postmark is overlaid, reading "U.S. POST OFFICE" at the top, "SEP 20 2001" in the center, and "WASH. D.C." at the bottom.

WINSTON HSU
5F, No. 389
Fu-Ho Road
Tung-Ho City, Taipei Hsien, 234
TAIWAN

CONFIRMATION NO. 3626
FORMALITIES LETTER



06000000006444187

Date Mailed: 08/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSES

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82 FC:105 130.00 OP
83 FC:617 58.00 OP



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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

www.uspto.gov

July 24, 2001

United World Chinese Commercial Bank
Taipei, Taiwan
Republic of China

Serial Number - 09/885039

The purpose of this communication is to inform you that your check number 217319029 dated, June 19, 2001 has been returned by the Bank. It was unpaid. The check was in the amount of \$750.00

The practice of the Office is to treat such returned checks as though the fee for which they were intended was never received. Therefore, the applicant is required to submit the following fee (s):

- \$750.00 - filing fee & claims
- \$130.00 - surcharge
- \$ 50.00 - returned check charge

Note: Any fee amount that is paid on or after October 1, 2000 is subject to the new fee schedule.

A shortened statutory period for response to the requirements of this notice is set to expire Two Months or Sixty days, whichever is longer, from the date of this notice.



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WASHINGTON, D.C. 20231

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Failure to respond within this period will result in the application becoming abandoned. Under rule 35 USC 133.

THE FILING RECEIPT WILL NOT BE MAILED OUT UNTIL ALL FEES ARE PAID. IF THE FILING RECEIPT HAS ALREADY BEEN MAILED OUT, IT IS VOID IF ALL THE FEES ARE NOT PAID IN A TIMELY MANNER.

Further correspondence with respect to this matter should include a copy of this notice and be addressed to the following:

Commissioner of Patents and Trademarks
Box 17
Washington, DC 20231

Telephone Inquiries should be directed to the office of Initial Patent Examination
709 (308-3642)

Eleanor F. Kurtz

Eleanor F. Kurtz
Office of Initial Patent Examination

NOTE: THIS CHEQUE PAPER CONTAINS A CHAIN WATERMARK. DO NOT ACCEPT WITHOUT NOTING WATERMARK. HOLD TO LIGHT TO VERIFY WATERMARK.



CHINA COMMERCIAL BANK
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REPUBLIC OF CHINA

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